Be prepared to discuss your findings in class. Bring the passage from your field with you.

Task Ten

Produce a Results section from your own work (or part of one if your work is extensive). If your results are not yet complete, create some findings on your own. Alternatively, you may complete the final paragraph of the Results section for the sentence connector mini-RP.

Unit Eight
Constructing a Research Paper II

In this final unit, we deal with the remaining parts of a research paper in the following order:

- Introduction sections
- Discussion sections
- Acknowledgments
- Titles
- Abstracts

Introduction Sections

It is widely recognized that writing introductions is slow, difficult, and troublesome for both native speakers as well as nonnative speakers. A very long time ago, the Greek philosopher Plato remarked, "The beginning is half of the whole." Indeed, eventually producing a good Introduction section always seems like a battle hard won.

Writing the Introduction of an RP is particularly troublesome. In some kinds of texts, such as term papers or case reports, it is possible to start immediately with a topic or thesis statement

The purpose of this paper is to ...
This paper describes and analyzes ...
My aim in this paper is to ...
In this paper, we report on ...

However, this kind of opening is rare and unusual in an RP (probably under 10% of published RPs start in this way). In fact, statements like those above typically come at or near the end of an RP Introduction. Why is this? And what comes before?

We believe that the answer to these questions lies in two intercon...
Parts. The first half of the answer lies in the need to appeal to readership. In a term paper assignment, the reader is set. (In-
deed the reader is required to read and evaluate your paper! On the other hand, a paper that is designed for the external world—if only in theory—needs to attract an audience. We can illustrate this by taking the case of one of those few published papers that actually does start by describing the present research. Here is the opening sentence of the Introduction:

This study of the writing of 22 first graders and 13 third graders is concerned with how children learn the rules of punctuation.

(Cordeiro 1988, 62)

The Cordeiro paper, “Children’s Punctuation: An Analysis of Errors in Period Placement,” was published in a journal called Research in the Teaching of English. As the title of this journal indicates, the journal covers several different research areas. Doubtless, the very specific opening to the Cordeiro paper will appeal immediately to those researchers actively involved in the topic. On the other hand, it is likely at the same time to “turn off” many other readers of the journal—readers who have no interest in this precise research area.

We believe that we can best explain the second half of the answer by using a metaphor—that of competition as it is used in ecology. Just as plants compete for light and space, so writers of RPs compete for acceptance and recognition. In order to obtain this acceptance and recognition, most writers use an organizational pattern that contains the following three “moves” in table 21, in the order given.

Creating a Research Space

In summary, then, the Introduction sections of RPs typically follow the pattern in table 21 in response to two kinds of competition: competition for research space and competition for readers. We can call this rhetorical pattern the Create-a-Research-Space (or CARS) model.

Task One

Read our draft Introduction to our mini-RP and carry out the tasks that follow.

<table>
<thead>
<tr>
<th>TABLE 21. Moves in Research Paper Introductions</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Move 1</strong> Establishing a research territory</td>
</tr>
<tr>
<td>a. by showing that the general research area is important, central, interesting, problematic, or relevant in some way. (optional)</td>
</tr>
<tr>
<td>b. by introducing and reviewing items of previous research in the area. (obligatory)</td>
</tr>
<tr>
<td><strong>Move 2</strong> Establishing a niche</td>
</tr>
<tr>
<td>a. by indicating a gap in the previous research, raising a question about it, or extending previous knowledge in some way. (obligatory)</td>
</tr>
<tr>
<td><strong>Move 3</strong> Occupying the niche</td>
</tr>
<tr>
<td>a. by outlining purposes or stating the nature of the present research. (obligatory)</td>
</tr>
<tr>
<td>b. by announcing principal findings, (optional)</td>
</tr>
<tr>
<td>c. by indicating the structure of the RP. (optional)</td>
</tr>
</tbody>
</table>

*In ecology, a niche is a particular microenvironment where a particular organism can thrive. In our case, a niche is a context where a particular piece of research makes particularly good sense.

The Position of Sentence Connectors in Academic English

C. B. Feak and J. M. Swales

Introduction

Many commentators have noted that sentence connectors (e.g., however) are an important and useful element in expository and argumentative writing. Frequency studies of their occurrence in academic English extend at least as far back as Huddleston (1971). ESL writing textbooks have for many years regularly included chapters on sentence connectors (e.g., Herbert, 1965). Most reference grammars deal with their grammatical status, classification, meaning, and use. Some attention has also been given to the position of sentence connectors in clauses and sentences. Quirk and Greenbaum (1973) observe (a) that the normal position is initial; (b) that certain connectors, such as hence and overall, "are restricted, or virtually restricted, to initial position" (p. 8); and (c) that medial positions are rare for most connectors, and final positions even rarer.
to us to explain differences in position on semantic grounds is an unpublished paper by Salera (1976) discussed in Celce-Murcia and Larsen-Freeman (1983). The Salera paper deals only with adversatives like however and suggests that initial position reflects something contrary to expectation, while medial position reflects a contrast that is not necessarily unexpected. However, neither of these studies provides any descriptive evidence of the actual positions of sentence connectors in academic texts. In the present paper, we report on a preliminary study of sentence-connector position in a sample of twelve published articles.

1. Divide the text into the three basic moves.

2. Look at table 21 again. Where in our Introduction would you divide Move 1 into la and lb?

3. What kind of Move 2 do we use?

4. What kind of Move 3a do we use?

5. Underline or highlight any words or expressions in sentences 1 through 3 used to establish a research territory.

6. List the six citations used in our draft introduction. (Salera is cited twice.) Do you have a criticism of our review of the previous literature?

7. Where do these six citations occur in the sentence? What does this tell us?

In Unit Seven, we argued that RPs were not simple accounts of investigations. This is also very true of our own mini-RP. If you look back at our introduction, you will note that we never actually say what our motive or rationale for carrying out this small study was. Rather, the study seems to emerge as a natural and rational response to a discovered gap in the literature.

In fact, this is not how the study started at all. In Fall 1992, a student in John's Research Paper Writing class asked him if there were any rules for where to put the sentence connectors. Not having any immediate answer, John played for time and asked what the class did. Most said they always put them first, even though they had noticed that they did not always come first in the books and papers that they read. Then one student, Arthur Hsieng, said that he remembered a sociology professor telling the class never to put however in initial position. As English teachers, we were so struck by this piece of grammatical folklore that we decided to investigate!

Task Two

Discuss the following issues with a group.

1. Do you think the "true" story behind our investigation should be built into the Introduction? If so, where and how?

2. Alternatively, do you think it should be made part of the Discussion? Or would the Acknowledgments be the best place to mention how the study came about? Or a footnote? Or should it be omitted altogether?

3. Do members of your group have comparable experiences to relate—perhaps stories about how pieces of research started almost by accident but are described as if they were planned?

4. How would you answer the following question? In any investigation, certain events take place in a certain order. Do you think it is necessary to keep to that order when writing an RP, or is an author free to change that order to construct a more rhetorically effective paper?

Of course, by this time you may be thinking that all this rhetorical work in Introductions is only needed in the social sciences and the humanities. There, academics may indeed need to create research spaces for themselves. Surely, you may be thinking, the CARS model is not necessary in "true" science. Before coming to any such conclusion, consider the first half of the Introduction to this Paper from aerospace and atmospheric science.
Discuss with a group the validity of each. Which do you think contribute most to our understanding of why citations are used in academic writing? Does your group have any other theories?

1. This theory is widely proposed in manuals and standard practice guides.

Citations are used to recognize and acknowledge the intellectual property rights of authors. They are a matter of ethics and a defense against plagiarism (see Notes on Plagiarism in Unit Five).

2. This theory has many supporters, especially in well-established fields like the sciences.

Citations are used to show respect to previous scholars. They recognize the history of the field by acknowledging previous achievements.

The remaining theories have been proposed by individual authors.

3. Ravetz 1971:

Citations operate as a kind of mutual reward system. Rather than pay other authors money for their contributions, writers "pay" them in citations.

4. Gilbert 1977:

Citations are tools of persuasion; writers use citations to give their statements greater authority.

5. Bavelas 1978:

Citations are used to supply evidence that the author qualifies as a member of the chosen scholarly community; citations are used to demonstrate familiarity with the field.

6. Swales 1990:

Citations are used to create a research space for the citing author. By describing what has been done, citations point the way to what has not been done and so prepare a space for new research.

Now suppose that we have actually carried out a study of the reasons for using citations in academic texts and have begun to write an RP. This is the draft of the introduction so far. Read it and consider the questions that follow.

· Citations are widely recognized as being an important and distinctive property of academic texts. Indeed, the presence or absence of citations allows the casual reader to get an immediate sense of whether a text is an "academic" or "popular" one. Because citation is such an obvious surface phenomenon, it has been much discussed in the academic world. Indeed, there are several theories about the role and purpose of citations in academic texts.

We now have to write Move 1b.

1. How can we sequence our six theories (plus any others that have come up in your groups)? The key element in literature reviews is that order is imposed on the material, not so much order in your own mind, but order in the reader's mind.

2. Clearly we need to start with the two major traditional views (theories 1 and 2). How can we order the remaining four (3-6)?

· Should we organize in the chronological order as presented? Is this—at least in this case—a weak kind of ordering? Is there another way?

4. One possibility might be to categorize theories 3-6. Do you consider the theories by Ravetz, Gilbert, Bavelas, and Swales to be
economic theories?
sociological theories?
rhetorical theories?

We could then decide to take next the case where we have two members in the category. One plan could look like this.

<table>
<thead>
<tr>
<th>Theory 1</th>
<th>Established major theories</th>
</tr>
</thead>
<tbody>
<tr>
<td>Theory 2</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Rhetorical</th>
<th>Theories 4 and 6</th>
</tr>
</thead>
<tbody>
<tr>
<td>Economic</td>
<td>Theory 3</td>
</tr>
<tr>
<td>Sociological</td>
<td>Theory 5</td>
</tr>
</tbody>
</table>

**Task Five**

Write either a short review of the citation literature or a short review of at least five papers from your own field. Use the reference system that you are most comfortable with. If you review papers from your field, also hand in a rough diagram showing how you have imposed order on the material.

**Language Focus: Citation and Tense**

Tense choice in reviewing previous research is subtle and somewhat flexible. (It is also not very much like the "rules" you may have been taught in English classes.) The following, therefore, are only general guidelines for tense usage.

Several studies have shown that at least two-thirds of all citing statements fall into one of these three major patterns.

I. **Past-researcher activity as agent**

Jones (1987) *investigated* the causes of illiteracy. The causes of illiteracy *were investigated* by Jones (1987).

II. **Present Perfect—researcher activity not as agent**

The causes of illiteracy *have been widely investigated* (Jones 1987, Ferrara 1990, Hyon 1994).

There *have been* several investigations into the causes of illiteracy (Jones 1987, Ferrara 1990, Hyon 1994).

Several researchers *have studied* the causes of illiteracy. 1–3

III. **Present—no reference to researcher activity**

The causes of illiteracy *are complex* (Jones 1987, Ferrara 1990, Hyon 1994).

Illiteracy *appears to have* a complex set of causes. 1–3

Note these common uses of these patterns:

Pattern I—reference to single studies—past
Pattern II—reference to areas of inquiry—present perfect
Pattern III—reference to state of current knowledge—present

Also note that in patterns I and II, attention is given to what previous researchers did, while in pattern III, the focus is on what has been found.

Finally note that different areas of scholarship have somewhat different preferences. Patterns I and II are most common in the humanities and least common in science, engineering, and medical research. However, all three patterns tend to occur in many extensive literature reviews, since they add variety to the text.

We have said that these three patterns cover about two-thirds of the cases. The reason this proportion is not higher is because writers of literature reviews can have certain options in their choice of tenses. This is particularly true of pattern I. The main verbs in Pattern I can refer to what a previous researcher *did* (*investigated, studied, analyzed*, etc.). By and large, in these cases the past is
obligatory. However, the main verbs can also refer to what the previous researcher wrote or thought (stated, concluded, claimed, etc.). With these reporting verbs, tense options are possible.


The differences among these tenses are subtle. In general, a move from past to present perfect and then to present indicates that the research reported is increasingly close to the writer in some way: close to the writer’s own opinion, close to the writer’s own research, or close to the current state of knowledge.

The present tense choice is sometimes called the citational present and is also used with famous or important sources.


Comparable options exist in the subordinate clause.

Jones (1987) found that illiteracy was correlated most closely with poverty. Jones (1987) found that illiteracy is correlated most closely with poverty.

The first sentence shows that the writer believes that the finding should be understood within the context of the single study. In the second, the writer implies that a wider generalization is possible.

**Variation in Reviewing the Literature**

In the language focus, we concentrated on the three main citation patterns. There are, of course, some others.

According to Jones (1987), the causes of illiteracy are closely related to poverty.
Most Move 2s establish a niche by indicating a gap—by showing that the research story so far is not yet complete. Move 2s then are a particular kind of critique (see Unit 6).

Usually Move 2s are quite short, often consisting of no more than a sentence. Sometimes, however, Move 2s can be quite complicated. Consider, for example, the Move 2 from the Almosino paper on the calculation of vortex flows. (Move 1 appears earlier in this unit.)

**Task Seven**

Read the middle section of the Almosino introduction (containing Move 2) and then answer the questions that follow.

> However, the previously mentioned methods suffer from some limitations mainly concerning the treatment of the vortex wake formation and its interaction with the body. The first group of methods cannot treat 3D flows and is limited to very slender bodies. The second group of computational methods is time consuming and therefore expensive, and its separation prediction is not sufficiently accurate. Both the methods in this group and the method in suffer from the dependency on too many semiempirical inputs and assumptions concerning the vortex wake and its separation. The steady, 3D nonlinear vortex-lattice method, upon which the present method is based, eliminates many of these limitations by introducing a more consistent model, but it can treat only symmetrical flow cases.

(Adapted from Almosino, 1984)

1. How many "critique" expressions can you find in the passage? Underline or highlight them.

2. What word signals that Move 1 has ended and Move 2 has started? What other words or expressions could also indicate this shift?

3. This Move 2 occupies five sentences. Why do you think Almosino has put these sentences in this particular order?

What do you think the next sentence is going to be?

As we have seen, Almosino relies mostly on verbs and adjectives to characterize weaknesses in the previous research. Care is obviously needed when selecting vocabulary of this sort.

**Task Eight**

Here are some "negative" verbs and adjectives. Decide how "negative" they are. Work with a partner. Use the key below.

<table>
<thead>
<tr>
<th>Verb/Adjective</th>
<th>Definitely or strongly negative</th>
<th>Neutral or slightly negative</th>
</tr>
</thead>
<tbody>
<tr>
<td>a. concentrated on x.</td>
<td>g. neglected to consider x.</td>
<td></td>
</tr>
<tr>
<td>b. disregarded x.</td>
<td>h. overestimated x.</td>
<td></td>
</tr>
<tr>
<td>c. failed to consider x.</td>
<td>i. overlooked x.</td>
<td></td>
</tr>
<tr>
<td>d. ignored x.</td>
<td>j. been restricted to x.</td>
<td></td>
</tr>
<tr>
<td>e. been limited to x</td>
<td>k. suffered from x.</td>
<td></td>
</tr>
<tr>
<td>f. misinterpreted x.</td>
<td>l. underestimated x.</td>
<td></td>
</tr>
<tr>
<td>a. controversial</td>
<td>e. questionable</td>
<td></td>
</tr>
<tr>
<td>b. incomplete</td>
<td>f. unconvincing</td>
<td></td>
</tr>
<tr>
<td>c. inconclusive</td>
<td>g. unsatisfactory</td>
<td></td>
</tr>
<tr>
<td>d. misguided</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
**Language Focus: Negative Openings**

Probably the most common way to indicate a gap is to use a "negative" subject. Presumably, negative subjects are chosen because they signal immediately to the reader that Move 1 has come to an end. Note the following uses of *little* and *few*:

**Uncountable**

- However, little information
- little attention . . .
- little work . . .
- little data . . .
- little research . . .

**Countable**

- However, few studies . . .
- few investigations
- few researchers . . .
- few attempts . . .

Note the differences in the following pairs:

- He has little research *experience*, (negative, i.e., not enough)
- He has a little research *experience*, (neutral, i.e., maybe enough)

- The department has few *computers*, (negative, i.e., not enough)
- The department has a few *computers*, (neutral, i.e., maybe enough)

Note the use of *no*/none of:

- No studies/data/calculations . . .

Use *no* when your conclusion is based on but does not directly refer to the cited literature. If you want to refer directly to the previous research, use *none of*.

- None of these studies/findings/calculations . . .

Of course, not *all RP* Introductions express Move 2 by indicating an obvious gap. You may prefer, for various reasons, to avoid negative or quasi-negative comment altogether. In such cases, a useful alternative is to use a contrastive statement.

The research has tended to focus on . . ., rather than on . . .

These studies have emphasized . . ., as opposed to . . .

Although considerable research has been devoted to . . ., rather less attention has been paid to . . .

Two other strategies are quite common, particularly in the "harder" areas. The first is raising a question, a hypothesis, or a need.

Here are some skeletal examples.

- However, it remains unclear whether . . .
- It would thus be of interest to learn how . . .
- If these results could be confirmed, they would provide strong evidence for . . .
- These findings suggest that this treatment might not be so effective when applied to . . .
- It would seem, therefore, that further investigations are needed in order to . . .

Note that in these cases, sentence connectors are not limited to the *however* type.

The second strategy is continuing a line of research. This last strategy is largely restricted to RPs written by research groups who are following up their own research or that done by similar groups. The authors draw a conclusion from their survey of the previous research indicating how some finding in the immediate research literature can be extended or applied in some way. Here are three examples.

These recent developments in computer-aided design clearly have considerable potential. In this paper, we demonstrate . . .

The literature shows that Rasch Analysis is a useful technique for validating multiple-choice tests. This paper uses Rasch Analysis to . . .

Such active-R networks eliminate the need for any external passive reactance elements. This paper utilizes the active-R approach for the design of a circuit . . .
Occupying the Niche

The third and final step in the typical RP Introduction is to make an offer to fill the gap (or answer the question) that has been created in Move 2. The first element in Move 3 is obligatory. It has two main variants:

Purposive (P)  The author or authors indicate their main purpose or purposes.

Descriptive (D)  The author or authors describe the main feature of their research.

Task Nine

Here are the beginning parts of ten opening Move 3 sentences. Decide in each case whether they are purposive or descriptive, and enter a P or a D in the blank. One of them is from the Almosino paper (see Move 2 in Task Seven). Can you guess which one it is? Complete at least three of the sentences with your own words.

1. The aim of the present paper is to give . . .

2. This paper reports on the results obtained . . .

3. In this paper we give preliminary results for . . .

4. The main purpose of the experiment reported here was to . . .

5. This study was designed to evaluate . . .

6. The present work extends the use of the last model by . . .

7. We now report the interaction between . . .

8. The primary focus of this paper is on . . .

9. The aim of this investigation was to test . . .

10. It is the purpose of the present paper to provide . . .

Note that Move 3 is typically signaled by some reference to the present text, such as the uses of this, the present, reported, and here. If the conventions of the field or journal allow it, it is also common for the authors to switch from the impersonal to the personal by using we, or more rarely. Also note that these signals come early in the sentence. It is very unusual to find:

We present the results of three experiments in this paper.

rather than:

In this paper we present the results of three experiments.

Language Focus: Tense and Purpose Statements

Students sometimes ask whether they should use was or is in purpose statements. Indeed, both were used in the phrases in Task Nine. The answer to this question depends on how you refer to your work. You have two choices:

1. Referring to the type of text—paper, article, thesis, report, research note, etc.
2. Referring to the type of investigation—experiment, investigation, study, survey, etc.

If you choose to refer to the type of text, you must use the present tense. If you write, "The aim of this paper was to . . .," it suggests that you are referring to an original aim that has now changed.

If you choose to refer to the type of investigation, you can use was or is. However, there is an increasing tendency to choose the present, perhaps because it makes the research seem relevant and fresh and new. The "safe rule" then is to opt for the present.
Completing an Introduction

There are a number of elements that can follow the purpo-

sive/descriptive statement. While these elements are typically

needed in longer texts, such as theses, dissertations, or long and

complex RPs, they may not be necessary in short RPs. We briefly

review each in turn.

Secondary Aims or Features

Sometimes a second sentence is necessary to complete Move 3a.

Here, for example, is the Almosino Move 3.

M 11The present work extends the use of the last model to
0 asymmetric, body-vortex cases, thus increasing the range
V of flow patterns that can be investigated. 12In addition, an
E effort is made to improve the numerical procedure to accel-
3 erate the convergence of the iterative solution and to get a

better rollup of the vortex lines representing the wake.

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These secondary statements are often introduced by such language

as

In addition, . . .
Additionally, . . .
A secondary aim . . .
A further reason for . . .

Stating Value

You may also want to consider whether you want to mention at this

stage anything about the contribution your research will make. Of

course, you will do this in the Discussion section in any case. Note

that Almosino squeezes a value statement into his introduction.

. . . , thus increasing the range of flow problems that can be inves-
tigated.

You opt for a value statement, it would be wise to be cautious and
to use qualifications (see Unit Four).

Task Ten

present the Feak and Swales draft Introduction (see Task One)
simply ends with a Move 3a.

In the present paper, we report on a preliminary study of
sentence-connector position in a sample of twelve published arti-
cles.

Would you advise us to add any of the following value statements? What are the advantages or disadvantages of each? If you do not like
any of them, can you offer one of your own, or edit one of them to
your satisfaction? Work with a partner if possible.

1. In this way, we offer a solution to a long-standing problem in
English grammar.

2. It is hoped that this small study will revive interest in a long-
neglected feature of academic English.

3. The information presented should be useful to all those teach-
ing academic writing to nonnative speakers of English.

Announcing Principal Findings

There is some confusion as to whether RP Introductions should close
with a statement of the principal results. One investigation (Swales
and Najjar 1987) found that physicists do this about half the time,
but educational researchers hardly ever include such statements.
One useful guideline is to ask yourself whether the RP will open
with an Abstract. If there is an Abstract, do you need to give the
main findings three times: in the Abstract, in the Introduction and
in the Results? We think not. If there is no Abstract, you may wish to
reconsider. Another suggestion would be to follow standard practice
in your field—or ask your instructor.
Outlining the Structure of the Text

A final option is to consider whether you need to explain how your text is organized. This element is obligatory in dissertations, but is only included in RPs under certain circumstances. One such circumstance arises when your text is unusual in some way, such as not using the IMRD format. Another arises if you are working in some new field. Cooper (1985) found, for example, that outlining the RP structure was quite common in computer technology. Ask yourself whether your anticipated readers need to have the organization of the RP explained.

Here is a useful example of a textual outline, well-motivated by the unusual structure of the paper. Notice how it uses a good variety of sentence structures. The paper is about currency rates in the European Common Market and was written by one of our students.

The plan of this paper is as follows. Section II describes the current arrangements for regulating exchange rates within the EC. In Section III a theoretical model is constructed which is designed to capture these arrangements. Experimental parameters are then tested in Section IV. Finally, Section V offers some suggestions for the modification of the current mechanisms.

(Pierre Martin, unedited)

Task Eleven

Below is a textual outline by another one of our students. Notice how this time it lacks variety. Can you rewrite it?

The rest of the paper is organized as follows. Section 2 presents the theoretical concept. Section 3 presents the empirical specification, the implementation of the model. Section 4 presents the results of statistical and other computational analyses. Section 5 summarizes the findings and provides a brief discussion concerning the shortcomings of the methods employed. Finally, an appendix presenting the detailed algebraic works is presented at the end of the paper.

(Abdul Malik, unedited)

Discussion Sections

It is not so easy to provide useful guidelines for writing Discussion or Conclusions sections. (We will not distinguish between these two terms, since the difference is largely conventional, depending on traditions in particular fields and journals.) See what is done in your own field.

The problem is that Discussions vary considerably depending on a number of factors. Not all these factors are understood, but one important one is the kind of research question—or questions—that the study attempted to answer. Another factor that leads to variation is the position of the Discussion section in the RP. By the time readers reach the Discussion, authors can assume a fair amount of shared knowledge. They can assume (if not always correctly) that the reader has understood the purpose of the study, obtained a sense of the methodology, and followed along with the results. Authors can use this understanding to pick and choose what to concentrate on in the Discussion. As a result, they typically have greater freedom than in the Introduction.

Overall, if Results deal with facts, then Discussions deal with points; facts are descriptive, while points are interpretive. Effective Discussion sections are similar to effective lectures, which, as Olsen and Huckin (1990) note, are based on points, rather than on facts. Further, authors of Discussions have some flexibility in deciding which of their possible points to include and then which to highlight.

Discussions, then, should be more than summaries. They should go beyond the results. They should be
more theoretical
or
more abstract
or
more general
or
more integrated with the field
or
more connected to the real world
or
more concerned with implications
or
applications

AND, if possible, some combination of these.

As Weissberg and Buker note, "in the discussion section you should step back and take a broad look at your findings and your study as a whole" (1990, 160).

We have said that Discussions can be viewed as presenting a series of points. Typically, they are arranged as in table 22.

Move 1 is usually quite extensive, and Moves 2 and 3 are often quite short. At this point, you might want to observe that Move 1 and the later moves seem self-contradictory. Why, you may ask, build up something in order to apparently attack it later? However, if we remember positioning, we can see that authors can present themselves very effectively by both

1. highlighting intelligently the strengths of the study
   and

2. highlighting intelligently its weaknesses.

Indeed, Moves 2 and 3 can also be used to identify and open up future research space for authors and their colleagues. However,

TABLE 22. Discussion Moves

<table>
<thead>
<tr>
<th>Move 1</th>
<th>Move 2</th>
<th>Move 3</th>
</tr>
</thead>
<tbody>
<tr>
<td>Points to consolidate your research space (obligatory)</td>
<td>Points to indicate the limitations of your study (optional but common)</td>
<td>Points to identify useful areas of further research (optional and only common in some areas)</td>
</tr>
</tbody>
</table>

this is less likely to happen, according to Huckin (1987), in areas here there is fierce competition for research grants.

Task Thirteen

We have noted in this task nine points we would like to make in the Discussion section of the paper on sentence connectors. They are not yet in order. We believe that they fall in the following categories:

Move 1
(Consolidation)
Six Points (3 results, 1 methodology, 1 centrality, 1 literature comparison)

Move 2
(Limitation)
Two points

Move 3
(Further research)
One point

Into which category does each point fall? Fill in the blanks with the labels. The first one has been done for you. Review the Methods, Results, and Introduction sections of our mini-RP, if necessary (see Unit Seven and Unit Eight Task One). The first one has been done for you.

1. Move 2 (Limitation)
   This is a very limited study restricted to a single field.

2. __
   Position varies from one connector to another (+ example[s]).

3. __
   Sentence-connectors are quite common in academic writing (average of 2 per page).

4. __
   Our survey shows unexpected differences in the frequency with which individual connectors are used (+ example[s]).
5. Further research in this area might produce materials of greater help to writers, especially nonnative speakers.

6. Our survey shows that 25% of connectors do not occur at the beginning of sentences.

It is important to conduct surveys to establish where connectors actually occur in sentences.

8. We are not yet in a position to offer explanations for choices of connector positions.

Twenty-five percent noninitial seems higher than the grammar books would predict, but lower than Morrow (1989), who found 53% noninitial in an economics journal (although Morrow used a broader definition of connector).

As we can see, the heart of a Move 1 typically consists of statements of results followed by a follow-up of some kind. The follow-up might take the form of examples, comparisons with other work, conclusions that might be drawn, or commentary on whether the results are expected or unexpected.

Task Fourteen

Please write our Discussion section for us. Refer to the Results section before Task Five for details of our study.

Opening a Discussion Section

As we have already suggested, there are many options in opening a Discussion. Consider the case of the following data. We studied Discussion openings in 15 articles from a small U.S. regional journal of natural history research. We found great variation. Four sections open with the main results. This was the largest category, but still less than 30% of the total. Three begin with a discussion of the literature. Here are two examples.

a Graikowski et al. (1986) recovered . . . toxin from . . . and found that . . . suffered 100% mortality when . . .


Two sections start in a more dramatic way by offering a general conclusion.

c. Apparently, we are witness to the early phases of a classic population explosion.

d. From this data, it is clear that . . . are not major consumers of commercially important fish-species in . . .

The remaining types of opening occur only once in the sample. We were surprised, for example, to find only one opening that reminds the reader of the original purpose.

e. The objective of the survey was to quantify the number of . . . within . . .

In another case, the author opens with a summary.

f. This report brings together all known records of . . . since 1959.

In another, the authors raise the level of discussion by referring to theory.

g. The interrelationship of bird populations and the environment is extremely complex.

One author starts with a comment about methodology.

- There is a bias associated with using either ground or aerial counts, exclusively.
Another author begins his Discussion section by highlighting the special importance of his research site.

i. ... is one of the few sites in North America where the presence of a significant number of migrating ... has been documented.

And in the final case, the author actually begins by discussing the limitations of the data.

j. The census figure of ... is expected to be an underestimate of the total population of ...

This small survey shows some of the many strategies that can be adopted for opening a Discussion section. The choice of strategy clearly depends in part on how the authors view their work. We will briefly comment on the last three cases. In h the author begins with a methodology critique of previous work, because one of his main points is that he has taken the trouble to "combine both aerial and ground surveys." In i the researcher begins by stressing the point that the location of his research site offers exceptional advantages. Finally, take the case of j. It might appear that the author of j has adopted a very risky strategy, but in this particular context it is not. It soon emerges that carrying out a complete census of this particular species would be very difficult. Therefore, the author presumably felt on safe ground when he opened in this way. Indeed, he can go on to claim that his numbers are much larger than anybody else has so far been able to report.

**Task Fifteen**

Survey and classify the openings of at least six Discussion sections from a journal in your field. Bring your findings to class.

**Language Focus: Levels of Generalization**

In the Results sections, statements may be quite specific and closely tied to the data.

As can be seen in Table 1, 84\% of the students performed above the 12th-grade level.

Seven out of eight experimental samples resisted corrosion longer than the controls.

On the other hand, in the Abstract or in a Summary section, space restrictions may lead to a high level of generality.

The results indicate that the students performed above the 12th-grade level.

The experimental samples resisted corrosion longer than the controls.

In the Discussion, we usually expect something in between these two levels. One common device is to use one of the following "phrases of generality."

Overall
In general
On the whole
In the main
With . . . exception(s)

Overall, the results indicate that students performed above the 12th-grade level.
The overall results indicate . . .
The results indicate, overall, that . . .

In general, the experimental samples resisted . . .
With one exception, the experimental samples resisted . . .

**Limitations in Discussions**

We saw in Introduction Move 2s (see page 185-89) that extensive "negative" language was a possible option. In contrast, Discussion Move 2s tend to use less elaborate negative language. The main reason is obvious; it is now your own research that you are talking about! Another reason is that many limitation statements in Discussions are not so much about the weaknesses in the research, as about what cannot be concluded from the study in question. Producing statements of this kind provides an excellent opportunity for the
writer to show that he or she understands how evidence needs to be evaluated in the particular field.

Task Sixteen

Complete four of the statements in set A. Base two on the mini-RP on sentence connectors and two on studies you have been involved with in your field. Complete at least one statement from B.

A. Limitations of Research Scope

1. It should be noted that this study has examined only . . .
2. This analysis has concentrated on . . .
3. The findings of this study are restricted to . . .
4. This study has addressed only the question of . . .
5. The limitations of this study are clear: . . .
6. We would like to point out that we have not . . .

B. Limitations in Conclusions. Below are some typical openings for statements that firmly state that certain conclusions should not be drawn.

1. However, the findings do not imply . . .
2. The results of this study cannot be taken as evidence for . . .
3. Unfortunately, we are unable to determine from this data . . .
4. The lack of . . . means that we cannot be certain . . .

We said earlier that Move 2s are optional in Discussions. If you feel it is unnecessary to comment on your work in either of the above two ways, a useful alternative is to place the limitation in an opening phrase.

Notwithstanding its limitations, this study does suggest . . .

Despite its preliminary character, the research reported here would seem to indicate . . .

However exploratory, this study may offer some insight into . . .

Cycles of Moves

Finally, we should point out that many Discussion sections run through the Move 1-2-3 (or part of it) sequence more than once. Commonly, each cycle occupies one paragraph. Further, the more research questions there are to be discussed, the more this cycling is likely to occur. Such cycling can also occur in Introductions, but it tends to be less common, especially in shorter RPs.

If you wish to write a longer Discussion, follow the shape recommended in figure 11. Begin with specifics and then move towards the more general.

Task Seventeen

Write or rewrite a Discussion section for your own research. If you are working with others, collaborate with them.

Acknowledgments

Acknowledgments have become an integral part of most RPs. Indeed, one famous professor of our acquaintance reported to us that he always reads the Acknowledgments section of an RP first. When we asked him why, he replied, "Oh, the first thing I want to know is who has been talking to whom." While we do not think that this is
standard reading behavior, it does show that Acknowledgments can be more than a display of necessary politeness.

Acknowledgments occur either at the bottom of the first page, following the Discussion, or sometimes at the end. They provide an opportunity for you to show that you are a member of a community and have benefited from that membership. Here we list some of the common elements in Acknowledgments.

1. Financial support

Support for this work was provided by (sponsor).
This research was partially supported by a grant from (sponsor).
This research was funded by Contract (number) from (sponsor).

2. Thanks

We would like to thank A, B, and C for their help . . .
I wish to thank A for his encouragement and guidance throughout this project.
We are indebted to B for . . .
We are also grateful to D for . . .

3. Disclaimers (following element 1 or 2)

However, the opinions expressed here do not necessarily reflect the policy of (sponsor).
The interpretations in this paper remain my own.
None, however, is responsible for any remaining errors.
However, any mistakes that remain are my own.

4. Other versions

An earlier/preliminary version of this paper was presented at (conference or seminar).

5. Source

This article is based on the first author's doctoral dissertation.

This paper is based on research completed as partial fulfillment for the Ph.D. requirements at (university name).

Notes

1. We believe that, if permitted, Acknowledgments should be written in the first person—for a single author and We for coauthors. It is possible to find phrases like "the present authors," but we consider them too formal for this situation.

2. As far as we can see, financial support tends to come first, followed by thanks. Disclaimers seem optional. Mentions of other versions and sources (if used) seem to come either at the beginning or at the end. (But note that, in theses or dissertations, it is customary to open with thanks to supervisors, advisors, committee-members, etc.)

Task Eighteen

Write a suitable Acknowledgments section for one of your pieces of work. If necessary, invent some forms of assistance to expand the section.

Titles

Although the title comes first in an RP, it may sometimes be written last. Its final form may be long delayed and much thought about and argued over. Authors know that titles are important, they know that the RP will be known by its title, and they know that a successful title will attract readers while an unsuccessful one will discourage readers.

What then are the requirements for good RP titles? In general, we suggest the following three.

1. The title should indicate the topic of the study.
2. The title should indicate the scope of the study (i.e., neither overstating nor understating its significance).
3. The title should be self-explanatory to readers in the chosen area.
In some cases it may be helpful to also indicate the nature of the study (experiment, case report, survey, etc.), but this is not always required.

Notice that we have so far not mentioned the length of the title. The expected length of RP titles is very much a disciplinary matter. In some areas, such as the life sciences, titles are becoming longer and looking more and more like full sentences. In others, the preferred style is for short titles containing mostly nouns and prepositions.

Finally, at this stage in your career, we advise against "clever," "joke," or "trick" titles. These can be very successful for undergraduates and for senior scholars, but in your case, such titles may simply be interpreted as mistakes. Here is an example of such a title. The author of the paper is Professor Hartley, a well-known professor of psychology who conducted many experiments on what makes English texts easy or difficult to read. In this instance, he has been comparing texts that have "ragged right" at the end of the lines with those that are straight or "justified." Here is the title:

Unjustified Experiments in Typographical Research and Instructional Design. (British Journal of Educational Technology 2 [1973]: 120-31)

In this case, we can assume that Professor Hartley is making a joke. But if you wrote it?

As it happens, we have in this textbook already referred to a fair number of written texts, some written by our students, but most from published sources. Look at the titles of thirteen of them.

Are there any that appeal to you? Why?

2. Mapping Dark Matter with Gravitational Lenses (Tyson, p. 117)
3. Blue Whale Population May Be Increasing off California (Boskin, p. 117)
4. Is There a Female Style in Science? (Barinaga, p. 117)
5. Reducing Air Pollution in Urban Areas: The Role of Urban Planners (Iseki, p. 124)
6. ESL Spelling Errors (Tesdell, p. 138)
7. Chinese EFL Students’ Learning Strategies for Oral Communication (Huang, p. 142)
8. Rhetorical Patterns in English and Japanese (Kobayashi, p. 146)
9. The Position of Sentence Connectors in Academic English (Feak and Swales, p. 175)
11. Children’s Punctuation: An Analysis of Errors in Period Placement (Cordeiro, p. 174)
12. On the Use of the Passive in Two Astrophysics Journal Papers (Tarone et al., p. 161)
13. Arguing for Experimental “Facts” in Science: A Study of Research Article Results Sections in Biochemistry (Thompson, p. 157)

Task Nineteen

Complete the analysis of these titles in table 23. Can you determine the system of capitalization that has been used in these titles? Is it the same as in your field? (Changes in capitalization occur in the reference list; see the notes at the beginning of our references on p. 247.)

You may have noticed that titles do not always follow the standard rules for using articles in English. Look again at titles 1 and 3. Are the articles sometimes omitted in your field?

As it happens, only two of the 13 titles use qualifications: Boskin (3) uses may and Tarone et al. (12) use on. What differences do you see between the following pairs of titles?

1a. On the Use of the Passive in Journal Articles
1b. The Use of the Passive in Journal Articles

2a. A Study of Research Article Results Sections
2b. A Preliminary Study of Research Article Results Sections

3a. An Analysis of Errors in Period Placement
3b. Toward an Analysis of Errors in Period Placement
Table 23 in Task Nineteen reveals that three of the 13 titles use a colon.

5. Reducing Air Pollution in Urban Areas: The Role of Urban Planners
11. Children’s Punctuation: An Analysis of Errors in Period Placement
13. Arguing for Experimental “Facts” in Science: A Study of Research Article Results Sections in Biochemistry

Colons are widely used in titles, e.g., in the title of this book. One of the colon’s typical functions is to separate ideas in such combinations as the following:

Before the Colon: After the Colon

Problem: Solution
General: Specific
Topic: Method
Major: Minor

Maybe you can think of others.

Task Twenty

Expand the following titles by adding a secondary element after the colon. Check back through the text where necessary (The page numbers are given in the list on pp. 206-7.)

1. Global Implications of Patent Law Variation:
2. ESL Spelling Errors:
7. Chinese EFL Students’ Learning Strategies for Oral Communication:

8. Rhetorical Patterns in English and Japanese:

9. The Position of Sentence Connectors in Academic English:

Task Twenty-one

Bring the title of one of your papers to class and be prepared to discuss its final form and how it got there.

Abstracts

In this final section, we will work on two kinds of abstracts. First, we will work on abstracts to be placed at the beginning of an RP. In most situations, these will be abstracts based on texts that you have already written. Second, we will work on the conference abstract. In this case, you may or may not have a text to work from.

There is a third kind of abstract—the kind that occurs in an abstracting journal. Such abstracts often use special conventions and are typically written by professional abstractors. They will not concern us.

Research Paper Abstracts

RP abstracts usually consist of a single paragraph containing from about four to ten full sentences. This kind of abstract is more important for the reader than for the writer. By this we mean that an unsatisfactory RP abstract is not likely to affect whether the paper is finally accepted for publication (although the editors may suggest changes to it). It may, however, affect how many people will read your paper. We know from many studies that readers of academic journals employ a vast amount of skimming and scanning. If they like your abstract, they may read your paper, or at least part of it. If they do not like it, they may not.

There are two main approaches to writing RP abstracts. One we shall call the "results-driven" abstract, because it concentrates on the research findings and what might be concluded from them. The other approach is to offer an "RP summary" abstract in which you provide one- or two-sentence synopses of each of the four sections. In both cases, the abstracts will be either informative or indicative (see 81). Most RP abstracts should aim to be informative (i.e., they should include the main findings). However, this may not be possible with very long papers or with very theoretical ones (as in mathematics).

Task Twenty-two

Read the two drafts of the abstracts for our mini-RP. Then answer the questions that follow.

Version A

A count of sentence connectors in 12 academic papers produced 70 different connectors. These varied in frequency from 62 tokens (however) to single occurrences. Seventy-five percent of the 467 examples appeared in sentence-initial position. However, individual connectors varied considerably in position preference. Some (e.g., in addition) always occurred initially; in other cases (e.g., for example, therefore), they were placed after the subject more than 50% of the time. These findings suggest that a search for general rules for connector position may not be fruitful.

Version B

Although sentence connectors are a well-recognized feature of academic writing, little research has been undertaken on their positioning. In this study, we analyze the position of 467 connectors found in a sample of 12 research papers. Seventy-five percent of the connectors occurred at the beginning of sentences. However, individual connectors varied greatly in positional preference. Some (e.g., in addition) only occurred initially; others, such as therefore, occurred initially in only 40% of the cases. These preliminary findings suggest that general rules for connector position will prove elusive.
1. The journal requirements state that the abstracts accompanying papers should not exceed 100 words. Do versions A and B qualify?

2. Which version is "results driven" and which is an "RP summary"?

3. Compare the tense usage in versions A and B.

4. Which version do you prefer? And why?

5. Some journals also ask for a list of key words. Choose three or four suitable key words.

**Language Focus: Linguistic Features of Abstracts**

On the basis of her research into abstracts from a wide range of fields, Naomi Graetz (1985) gives these linguistic specifications as characteristic of abstracts:

1. the use of full sentences
2. the use of the past tense
3. the use of impersonal passive
4. the absence of negatives
5. the avoidance of "abbreviation, jargon, symbols and other language shortcuts that might lead to confusion."

Despite Graetz's second conclusion (abstracts use the past tense), it seems clear that tense usage in abstracts is fairly complicated. First, the conclusions are nearly always in the present. Second, RP summary abstracts often use the present or present perfect for their opening statements. Third, there appears to be considerable disciplinary and individual tense variation with sentences dealing with results.

In the versions in Task Twenty-two, the results were all expressed through the past tense. Nevertheless, it is not difficult to find exceptions to this pattern. Here is a short abstract from the Rapid Communications section of the journal *Physical Review A* (1993).

In this paper the second-order nuclear-structure correction to the energy of hydrogen-like systems is estimated and previous results are corrected. Both deuterium and hydrogen are considered. In the case of deuterium the correction is proportional to the nuclear polarizability and amounts to about -19kHz for the $1S$ state. For hydrogen the resulting energy shift is about -60Hz.

Our investigations suggest that the shift to the present tense is more likely to occur in physical sciences such as physics, chemistry, and astrophysics and less likely to occur in the social sciences. We also found that physicists and chemists were—perhaps surprisingly—more likely to adopt a personal stance. Indeed, we have found occasional abstracts, particularly in astrophysics, which contain sequences of sentence openings like the following:

- We discuss . . .
- We compute . . .
- We show . . .
- We argue . . .
- We conclude . . .

It would therefore seem that choice of tense and person may again be partly a strategic matter in abstracts. Choosing the present tense option—if permitted—can produce an effect of liveliness and contemporary relevance. Choosing we can add pace, by making the abstract a little shorter.

**Task Twenty-three**

Analyze five abstracts from a central journal in your field in terms of the five characteristics proposed by Graetz. To what extent do your findings agree with hers? Be prepared to summarize your findings in class, perhaps in the form of a table.
Conference Abstracts

This second type of abstract is somewhat different from the RP abstract. It is usually much longer; most of a page rather than a single paragraph (and can be even longer, especially in engineering). It is independent; in other words, whether you are accepted for the conference program depends entirely on how your conference abstract is perceived by the review panel. Your primary audience is, therefore, the conference reviewing committee. Appealing to the conference participants is a secondary consideration. At the beginning of this section, we mentioned another difference: it is very possible that you do not yet have a text to construct your abstract out of. Finally, it is also possible that you have not yet completed all the work for your RP. For example, you might have three experiments planned, but as the deadline descends on you, you have results from only two of them. In effect, your abstract may not be entirely informative.

In consequence of these and other factors, conference abstracts are much more of "a selling job" than RP abstracts. As a result, most conference abstracts have an opening section that attempts to

- create a research space,
- impress the review committee, and
- appeal (if accepted) to as large an audience as possible.

Task Twenty-four

Here are two successful conference abstracts written by two of our students. The versions presented are at least third drafts. The first is from music theory and the second from business management. Read them and carry out the tasks that follow.

Rhythm, Meter, and the Notated Meter in Webern's Variations for Piano, Op. 27

One of the problematic issues in post-tonal music is the notion of rhythm and meter. In the numerous analyses of Webern's Variations for Piano, Op. 27, analysts have failed to agree about the role of the notated meter in the rhythmic and metrical structure of the piece. Some claim the notated meter to be purely conventional and not to be observed in performance, while others give an alternative changing meter to the one notated. This paper seeks to illustrate that the notion of rhythm and meter in Webern's Op. 27 is a delicate and, more significantly, an intentional interplay between the notated meter, and the rhythm and meter arising from the phrase structure of the piece. In order to demonstrate this, the paper presents an analysis examining the phrase structure of the piece, seeing it as an interaction between the pitch and the rhythmic domain. The analysis employs the concept of Generalized Musical Intervals (GIS) developed by Lewin, as well as applications of the traditional notion of phrase rhythm. These features are then presented in interaction with the row structure of the piece. The paper closes by suggesting that an essential feature in understanding rhythm and meter in Webern's Op. 27 is the interaction between the various layers of the music: that is, the underlying row structure, the surface interpretation of the row structure, the phrase rhythm, the meter, and the notated meter.

(Tiina Koivisto, very minor editing)

Speed and Innovation in Cross-functional Teams

The competitive and uncertain business environment of the 1990s requires an accelerated product development process with greatly improved coordination and integration among cross-functional teams (Denison, Kahn and Hart 1991). Their successful product development effort suggests that speed and variety in perspective and expertise are compatible. Although product development using cross-functional teams has been drawing much attention from academics as well as the corporate world, research into its organization and processes is still underdeveloped. This deficiency is significant because the traditional literature on decision making has assumed that speed and variety are, in reality, incompatible. This paper elaborates the process of cross-functional team efforts, based on interviews and observations over a two-year period. A model is developed and operationalized with 22 survey measures and tested with data from 183 individuals on 29 teams. Results show that product development using
cross-functional teams is highly correlated with time compression, creativity, capability improvement, and overall effectiveness.

(Kaz Ichijo, very minor editing)

1. Underline all instances in the two texts where the authors use evaluative language to strengthen their case for the acceptability of their research.

2. Circle all instances of metadiscourse (i.e., when the authors talk about their own texts). What difference do you see between the two authors?

3. Where are the divisions between the "scene setting" and the actual studies in these two texts? Do the proportions of each surprise you?

4. Why do you think the two abstracts were accepted? Were the reasons similar in each case?

5. Where do you suppose the students were in their studies when they wrote their conference abstracts? Circle your guess.

In Tiina's case:

a. All the work had been completed.

b. All but part of the analysis of the row structure had been done.

c. She had studied GIS, but had only tried it out on small samples.

In Kaz's case:

a. Almost everything had been done.

b. All the data had been collected and analyzed, but the model was not yet developed.

c. The data had been collected, but only analyzed in a preliminary way in order to get a sense of where it was going.

Citations in Conference Abstracts

In many cases, a conference abstract is read and assessed fairly quickly—maybe in only a few minutes. Under these conditions it does no harm to try to indicate at the beginning that you understand what is going on in your own specialized area. For that reason, many conference abstracts contain one or two carefully selected references to recent literature. In this way, authors can communicate that they are in touch with the latest developments. However, as Tiina's abstract shows, it is not always necessary or even desirable to give the citations in full.

Task Twenty-five

Your advisor contacts you about an upcoming small regional conference and suggests that you submit a conference abstract based on your current work. The deadline is ten days away. The abstracts should be anonymous and between 150 and 200 words. Make sure you have a draft ready for your next writing class.
Appendixes